

FORM PTO-1390 (Modified) U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE  
(REV. 12-2004)

ATTORNEY'S DOCKET NUMBER

268728US2PCT

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

**10/529209**

INTERNATIONAL APPLICATION NO.  
PCT/JP03/08040

INTERNATIONAL FILING DATE  
25 June 2003

PRIORITY DATE CLAIMED  
27 September 2002 (earliest)

TITLE OF INVENTION  
ABSORPTION REFRIGERATING MACHINE

APPLICANT(S) FOR DO/EO/US  
Naoyuki INOUE

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☒ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☒ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☒ A copy of the International Search Report (PCT/ISA/210).

Items 13 to 23 below concern document(s) or information included:

13. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☐ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☒ A power of attorney and/or change of address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
20. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).
22. ☐ Express Mail Label No.
23. ☒ Other items or information:

Application Data Sheet/Notice of Priority/Request for Consideration/Drawings (11 Sheets)/PCT/IB/304/PCT/IB/308  
Amended Sheets (Pages 49-51a)

|  |              |  |            |  |            |
|--|--------------|--|------------|--|------------|
| U.S. APPLICATION NO (if known, see 37 CFR 1.5)<br><b>10/529209</b>   |              | INTERNATIONAL APPLICATION NO.<br><b>PCT/JP03/08040</b>                 |            | ATTORNEY'S DOCKET NUMBER<br><b>268728US2PCT</b>                              |            |
| 24. The following fees are submitted:  |              |  |            | Applicant use  | Office use |
| <input checked="" type="checkbox"/> a) Basic national fee . . . . . \$300.00   |              |  |            | \$ 300.00  |            |
| <input checked="" type="checkbox"/> b) Examination fee . . . . . \$200.00  |              |  |            | \$ 200.00  |            |
| <input checked="" type="checkbox"/> c) Search fee . . . . . \$500.00   |              |  |            | \$ 400.00  |            |
| <b>TOTAL OF ABOVE CALCULATIONS = \$1000.00</b>   |              |  |            | \$ 900.00  |            |
| <input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. |              |  |            |  |            |
| Total Sheets   | Extra Sheets | Number of each additional 50 or fraction thereof (round up to a whole) | RATE       |  |            |
| - 100 =  | /50 =        |  | x \$250.00 | \$ 0.00  |            |
| Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)).  |              |  |            | \$   |            |
| CLAIMS   | NUMBER FILED | NUMBER EXTRA   | RATE       |  |            |
| Total claims   | 24 - 20 =    | 4  | x \$50.00  | \$ 200.00  |            |
| Independent claims   | 5 - 3 =      | 2  | x \$200.00 | \$ 400.00  |            |
| * MULTIPLE DEPENDENT CLAIMS (if applicable) <input checked="" type="checkbox"/> + \$360.00   |              |  |            | \$ 360.00  |            |
| <b>TOTAL OF ABOVE CALCULATIONS =</b>   |              |  |            | \$ 1,860.00  |            |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.   |              |  |            | \$ 0.00  |            |
| <b>SUBTOTAL =</b>  |              |  |            | \$ 1,860.00  |            |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).  |              |  |            | \$ 0.00  |            |
| <b>TOTAL NATIONAL FEE =</b>  |              |  |            | \$ 1,860.00  |            |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property +  |              |  |            | \$ 0.00  |            |
| <b>TOTAL FEES ENCLOSED =</b>   |              |  |            | \$ 1,860.00  |            |
| Amount to be refunded:   |              |  |            | \$   |            |
| Amount to be charged:  |              |  |            | \$   |            |
| a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.   |              |  |            |  |            |
| b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees. A duplicate copy of this sheet is   |              |  |            |  |            |
| c. <input checked="" type="checkbox"/> The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <b>15-0030</b> . A duplicate copy of this sheet is enclosed.                                |              |  |            |  |            |
| d. <input checked="" type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.    |              |  |            |  |            |
| <b>NOTE:</b> Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.  |              |  |            |  |            |
| SEND ALL CORRESPONDENCE TO:  |              |  |            | <i>Corwin Paul Umbach</i><br>SIGNATURE                                       |            |
|  |              |  |            | Marvin J. Spivak   |            |
| CUSTOMER NUMBER<br>22850   |              |  |            | NAME <b>Corwin P. Umbach, Ph.D.</b><br>24,913 <b>Registration No. 40,211</b> |            |
|  |              |  |            | REGISTRATION NUMBER  |            |

10/529209

JC06 Re PCT/PTO 25 MAR 2005

DOCKET NO.: 268728US2PCT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Naoyuki INOUE  
SERIAL NO.: NEW U.S. PCT APPLICATION  
FILED: HEREWITH  
INTERNATIONAL APPLICATION NO.: PCT/JP03/08040  
INTERNATIONAL FILING DATE: June 25, 2003  
FOR: ABSORPTION REFRIGERATING MACHINE

**REQUEST FOR CONSIDERATION OF DOCUMENTS**  
**CITED IN INTERNATIONAL SEARCH REPORT**

Commissioner for Patents  
Alexandria, Virginia 22313

Sir:

In the matter of the above-identified application for patent, notice is hereby given that applicant(s) request that the Examiner consider the documents cited in the International Search Report according to MPEP §609 and so indicate by a statement in the first Office Action that the information has been considered. When the Form PCT/DO/EO/903 indicates both the search report and copies of the documents are present in the national stage file, there is no requirement for the applicant(s) to submit them (1156 O.G. 91 November 23, 1993).

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.



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